

Answer all ▶ questions before looking at ★ questions.

■ Hash-and-Sign signature from lattices

The *Hash-and-Sign* paradigm is a generic method for deriving rather efficient signatures from trapdoor one-way functions*. The signature scheme is derived as follows:

- **KeyGen** select a trapdoor function f together with its trapdoor τ_f . The public key of the scheme is f , the secret key is τ_f .
- **Sign**(sk, m) first hashes the message m to some point $y = \mathcal{H}(m)$ within f 's range. Then, it computes $\sigma \in f^{-1}(m)$ using the trapdoor τ_f .
- **Verif**(pk, m, σ) simply checks that $\mathcal{H}(m) = f(\sigma)$.

This exercise focuses on a classical instantiation of the Hash-and-Sign paradigm in the lattice world. We briefly introduce lattices and some important results that can be used as blackboxes in this exercise†.

A (full-rank) lattice Λ is a discrete subgroup of \mathbb{R}^n , and as such it always admits generators $\mathbf{b}_1, \dots, \mathbf{b}_n \in \mathbb{R}^n$ (often compiled within a matrix \mathbf{B}) whose integer linear combinations yield the lattice $\Lambda = \Lambda(\mathbf{B})$. The security of the scheme we focus on relies on hypotheses related to the (Inhomogeneous) Short Integer problems, defined as follows.

Definition 1 ((Inhomogeneous) Short Integer Solution) Given an integer q , a matrix $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$, a real β , and a syndrome $\mathbf{u} \in \mathbb{Z}_q^n$.

- **SIS.** The problem is to find a nonzero vector $\mathbf{e} \in \mathbb{Z}_q^m$ such that $\mathbf{e}^\top \mathbf{A} = \mathbf{0}$ and $\|\mathbf{e}\| \leq \beta$.
- **ISIS.** The problem is to find a nonzero vector $\mathbf{e} \in \mathbb{Z}_q^m$ such that $\mathbf{e}^\top \mathbf{A} = \mathbf{u}$ and $\|\mathbf{e}\| \leq \beta$.

The following results regarding lattice-based cryptography will be of importance for the rest of the tutorial.

- **Gaussian sampling.** There is a PPT algorithm that, given a basis \mathbf{B} of an n -dimensional lattice $\Lambda = \Lambda(\mathbf{B})$, a parameter $s \geq \|\tilde{\mathbf{B}}^*\| \cdot \omega(\sqrt{\log n})$, and a center $\mathbf{c} \in \mathbb{R}^n$, outputs a sample from a distribution that is statistically close to $G_{\Lambda, s, \mathbf{c}}$ §.
- **Lattice generation with trapdoor.** For any prime $q = \text{poly}(n)$, and any $m \geq 5n \log q$, there is a PPT algorithm that outputs a matrix $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$ together with a basis \mathbf{T}_A of $\Lambda^\perp(\mathbf{A})$ such that: the distribution of \mathbf{A} is statistically close to the uniform over $\mathbb{Z}_q^{m \times n}$ and $\|\mathbf{T}_A\| \leq L := m^2 \sqrt{m}$.
- **Conditional distribution of syndrome.** Let $\mathbf{u} \in \mathbb{Z}_q^n$ and $\mathbf{t} \in \mathbb{Z}^m$ be an arbitrary solution to $\mathbf{t}^\top \mathbf{A} = \mathbf{u} \pmod q$. Then the conditional distribution of $\mathbf{e} \leftarrow G_{\mathbb{Z}^m, s}$ given $\mathbf{e}^\top \mathbf{A} = \mathbf{u} \pmod q$ is exactly $\mathbf{t} + G_{\Lambda^\perp, s, -\mathbf{t}}$.
- **Distribution of syndrome.** Let n, q be integers with q prime, and let $m \geq 2n \log q$. Then for all but a $2q^{-n}$ fraction of all $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$, and for any $s \geq \omega(\sqrt{\log n})$, the distribution of the syndrome $\mathbf{u} = \mathbf{e}^\top \mathbf{A} \pmod q$ is statistically close to uniform over \mathbb{Z}_q^n , where $\mathbf{e} \leftarrow G_{\mathbb{Z}^m, s}$.
- **Recheable syndromes.** Let $m \geq 2n \log q$. Then, for all but an atmost q^{-n} fraction of $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$, for any syndrome $\mathbf{u} \in \mathbb{Z}_q^n$, there is a $\mathbf{e} \in \{0, 1\}^m$ such that $\mathbf{e}^\top \mathbf{A} = \mathbf{u} \pmod q$.

◆ A primer on game-based proofs

▶ **Question 1.** Let \mathcal{G}_0 and \mathcal{G}_1 be two security games, and $\mathcal{A}_0, \mathcal{A}_1$ be adversaries against them. Let \mathcal{D} be an adversary trying to determine whether she is interacting with \mathcal{G}_0 or \mathcal{G}_1 . Relate $\text{Adv}(\mathcal{D})$, $\Pr(\mathcal{G}_0(\mathcal{A}_0) \rightarrow \top)$ and $\Pr(\mathcal{G}_1(\mathcal{A}_1) \rightarrow \top)$.

▶ **Question 2.** Let $\mathcal{G}_0, \dots, \mathcal{G}_n$ be a sequence of game, and $(\mathcal{D}_i)_{0 \leq i < n}$ be distinguishers between games \mathcal{G}_i and \mathcal{G}_{i+1} . Assuming that $\Pr(\mathcal{G}_n \rightarrow \top) = p$, what can be said about $\Pr(\mathcal{G}_0 \rightarrow \top)$?

*Informally, a family of trapdoor function is a family of efficiently computable functions that are hard to invert, except if one is given the associate trapdoor.

†The point here is really to understand how those results branch together and what operations they allow to perform.

‡This denote the Gram-Schmidt orthogonalization of the matrix \mathbf{B} .

§The discrete Gaussian distribution over a lattice is really the (scaled) continuous Gaussian distribution restricted to the lattice points.

◆ Preimage sampleable functions from (I)SIS hardness

In this part, we design preimage-sampleable function that are one-way and collision-resistant under the SIS and ISIS hypothesis.

Definition 2 (Collision-resistant preimage sampleable functions) A collection of collision-resistant preimage sampleable functions is a tuple of PPT algorithms TrapGen , SDom and SPre such that:

- TrapGen outputs a couple (a, τ_a) where a describe an efficiently computable function $f_a : D \rightarrow R$, and τ_a some trapdoor information.
- $\text{SDom}(a)$ samples $x \in D$ such that the distribution of $f_a(x)$ is (statistically close from) uniform.
- $\text{SPre}(\tau_a, y \in R)$ samples from (a distribution statistically close from) the conditional distribution of $x \leftarrow \text{SDom}(a)$, given $f_a(x) = y$. Additionally, it requires that the later distribution has min-entropy at least $\omega(\log n)$.

Moreover, the advantage of any adversary for producing a preimage of $y \leftarrow \mathcal{U}(R)$ by f_a is negligible if it was not handed τ_a (this is one-wayness), and the advantage of any adversary for producing $x \neq x'$ such that $f_a(x) = f_a(x')$ is negligible if it was not handed τ_a (this is collision-resistance).

Let $p = \text{poly}(n)$ prime, $m \geq 5n \log q$ and $s \geq L \cdot \omega(\sqrt{\log n})$. Under the hardness of $\text{ISIS}_{q,m,s\sqrt{m}}$ and $\text{SIS}_{q,m,2s\sqrt{m}}$, collision-resistant permutations exist over lattices. The trapdoor generation is performed as follows.

TrapGen samples $(\mathbf{A}, \mathbf{T}_A)$ such that \mathbf{A} is statistically close from the uniform distribution, and \mathbf{T}_A is a trapdoor for \mathbf{A} – namely, a good basis of $\Lambda^\perp(\mathbf{A})$ – and the associated function is $f_A : e \mapsto e^\top \mathbf{A} \pmod q$, with domain $D = \{e \in \mathbb{Z}^m \mid \|e\| \leq s\sqrt{m}\}$ and range $R = \mathbb{Z}_q^n$.

- ▶ **Question 3.** Propose algorithms for SDom and SPre that fit this TrapGen algorithm.
- ▶ **Question 4.** Show that one-wayness and collision-resistance hold under the (I)SIS hypotheses for a forementioned parameters.

◆ GPV signatures

We now present the scheme known as GPV’s signature (in its stateful version), an instantiation of the Hash-and-Sign paradigm over lattices. Let \mathcal{H} be a hash function modeled as a random oracle. We have:

- $\text{KeyGen}(1^\lambda)$ samples $(\mathbf{A}, \mathbf{T}_A)$ by calling $\text{TrapGen}(1^\lambda)$.
- $\text{Sign}(\mathbf{T}_A, m)$ returns σ_m if the couple (m, σ_m) is in local storage[‡]. Otherwise, it let σ_m be a preimage of $\mathcal{H}(m)$ (found using SPre), stores the couple (m, σ_m) and outputs σ_m .
- $\text{Verif}(\mathbf{A}, m, \sigma)$ computes $y = \mathcal{H}(m)$ and checks whether both $\sigma^\top \mathbf{A} = y$ and $\sigma \in D$.

▶ **Question 5.** Write the stateful-EUF-CMA security game in a game-based style. You may defined auxiliary algorithm $\mathcal{O}_{\text{sign}}$ and \mathcal{O}_{RO} . This is the game $\mathcal{G}_{\text{real}}$.

▶ **Question 6.** Write a game \mathcal{G}_{sim} that “looks like” $\mathcal{G}_{\text{real}}$ in which the trapdoor \mathbf{T}_A is no longer used. You may defined auxiliary algorithm $\mathcal{S}_{\text{sign}}$ and \mathcal{S}_{RO} . What is $\text{Pr}(\mathcal{G}_{\text{sim}} \rightarrow \top)$?

▶ **Question 7.** Write a game-based proof showing that the distance between the games is negligible. You may introduce an intermediate game ensuring $\mathcal{H}(m)$ has always be queried before signing the message m , and assume that \mathcal{A} queried $\mathcal{H}(m^*)$ for the message m^* be produces a forgery on.

▶ **Question 8.** Deduce that stateful-GPV is SEU-CMA secure under the (I)SIS hypothesis in the ROM.

▶ **Question 9.** Propose non-stateful version of this signature scheme, and argue for its security.

[‡]This is where the *stateful* adjective reflects.